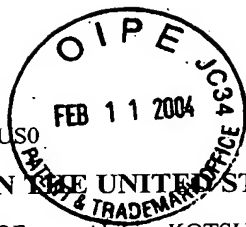


Docket No. 246154US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Akihiro KOTSUGAI, et al.

SERIAL NO: 10/726,669

GAU:

FILED: December 4, 2003

EXAMINER:

FOR: CARRIER AND DEVELOPER FOR DEVELOPING LATENT ELECTROSTATIC IMAGES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☒ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Richard L. Treanor

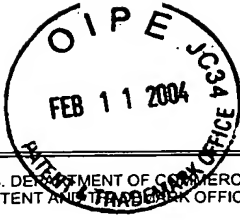
Registration No. 36,379

Customer Number

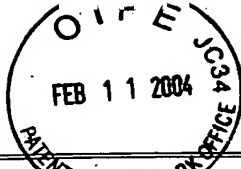
**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

**Roland E. Martin**  
**Registration No. 48,082**



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 246154US0		SERIAL NO. 10/726,669	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Akihiro KOTSUGAI, et al.			
				FILING DATE December 4, 2003		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,653,037	11-25-03	SAWADA et al.			
	AB	6,489,073	12-03-02	KOTSUGAI et al.			
	AC	6,599,672	07-29-03	YAMAGUCHI et al.			
	AD	6,472,118	10-29-02	YAMAGUCHI et al.			
	AE	6,010,814	01-04-00	KOTSUGAI et al.			
	AF	5,912,100	06-15-99	AOKI et al.			
	AG	6,168,894	01-02-01	AOKI et al.			
	AH	6,004,715	12-21-99	SUZUKI et al.			
	AI	5,420,833	05-30-95	TANAKA et al.			
	AJ	5,429,901	07-04-95	MUTO et al.			
	AK	5,368,972	11-29-94	YAMASHITA et al.			
	AL	5,418,103	05-23-95	MUTO et al.			
	AM	5,487,046	01-23-96	WATADA et al.			
	AN	5,369,629	11-29-94	WATADA et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

246154US0

SERIAL NO.

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## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Akihiro KOTSUGAI, et al.

FILING DATE

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GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,430,696	07-04-95	TOKITA et al.			
	AB	5,337,293	08-09-94	TOKITA et al.			
	AC	5,288,577	02-22-94	YAMAGUCHI et al.			
	AD	5,736,265	04-07-98	TANAKA et al.			
	AE	5,459,701	10-17-95	TOKITA et al.			
	AF	5,323,366	06-21-94	WATADA et al.			
	AG	5,079,123	01-07-92	NANYA et al.			
	AH	5,102,766	04-07-92	NANYA et al.			
	AI	5,085,965	02-04-92	NANYA et al.			
	AJ	4,990,425	02-05-91	NANYA et al.			
	AK	5,103,422	04-07-92	TOKITA et al.			
	AL	4,950,573	08-21-90	YAMAGUCHI et al.			
	AM	4,670,368	06-02-87	TOSAKA et al.			
	AN	4,670,322	06-02-87	NAKAMURA et al.			

## FOREIGN PATENT DOCUMENTS

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					YES	NO
	AO					
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	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

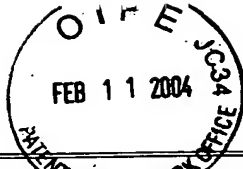
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	AX	
	AY	
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☐ Additional References sheet(s) attached

Examiner

Date Considered

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	AA	4,670,323	06-02-87	NAKAMURA et al.			
	AB	4,562,105	12-31-85	MACHIDA et al.			
	AC	4,544,602	10-01-85	KOBAYASHI et al.			
	AD	4,590,141	05-20-86	AOKI et al.			
	AE	4,617,601	10-14-86	TANAKA			
	AF	4,562,136	12-31-85	INOUE et al.			
	AG	4,466,004	08-14-84	KOBAYASHI et al.			
	AH	4,413,297	11-01-83	TANAKA et al.			
	AI	4,111,823	09-05-78	KOBAYASHI et al.			
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	AK						
	AL						
	AM						
	AN						
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**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Inventor/ Applicant</u>
235668US0	10/392,869	03/21/03	KOTSUGAI, et al.
232729US2	10/339,290	01/10/03	TAKAHASHI, et al.
222782US0	10/135,377	05/01/02	YAMAGUCHI, et al.
220452US0	10/091,498	03/07/02	YAGUCHI, et al.
219257US0	10/079,878	02/22/02	KATOH, et al.
216314US2	6,653,037	11/25/03	SAWADA, et al.
238602US0	10/610,652	07/02/03	ASAMI, et al.
232409US0	10/329,538	12/27/02	HIGUCHI, et al.
221088US0	10/103,791	03/25/02	SUZUKI, et al.
216544US0	09/993,606	11/27/01	KOTSUGAI, et al.
201886US0	6,489,073	12/03/02	KOTSUGAI, et al.
237911US2 CONT	10/443,756	05/23/03	YAMAGUCHI, et al.
227026US2 DIV	6,599,672	07/29/03	YAMAGUCHI, et al.
199852US2	6,472,118	10/29/02	YAMAGUCHI, et al.
241505US2 CIP	10/665,536	09/22/03	MOTOYAMA
00557-4490-0	6,010,814	01/04/00	KOTSUGAI, et al.
PER CLIENT	5,912,100	06/15/99	AOKI, et al.
00557-4275-0 DIV	09/010,583	01/22/98	AOKI, et al.

\*Present Application; listed for information  
NFO/amr



00557-3912-0	6,168,894	01/02/01	AOKI, et al.
00557-3877-0	6,004,715	12/21/99	SUZUKI, et al.
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PER CLIENT	4,617,601	10/14/86	TANAKA
PER CLIENT	4,562,136	12/31/85	INOUE, et al.
PER CLIENT	4,466,004	08/14/84	KOBAYASHI, et al.
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